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本計畫建議書如與委任投資契約內容抵觸，則以委任投資契約為準
In case of inconsistency exists between this RFP and the Investment Management Agreement, the Investment Management Agreement shall prevail.

由候選人填寫的資訊收集表【以下資訊除另有標示外，請以實際投資者為填報資料主體】
Information Collection Form to be completed by candidates [The information below refers to the entity that does the actual investment management, unless otherwise indicated]

目前，勞動基金運用局正在為新制勞工退休基金及國民年金保險基金之全球被動股票型（ Global Passive Equity）委任招聘4位投資經理。您在下列表格（B部份至C部份）中填寫的資訊將作為我們的考慮因素。請儘量提供準確簡明的資訊，並按照問題中所規定的字數限制（如有明列）填寫。
The Bureau of Labor Funds (hereafter the "BLF") is currently seeking to appoint 4 Global Passive Equity investment managers for Labor Pension Fund and National Pension Insurance Fund. To be included in our consideration, please complete the tables below (Sections B to C). Please endeavour to keep responses accurate and concise, abiding by the word limits specified in the questions (where relevant).

如果您可以填寫下列表格並於申請須知中規定之期限前，併同其他申請文件及其電子檔交回，我們將不勝感謝。
It would be appreciated if you can complete this document and reply in conjunction with other application documentations prior to the application deadline as specified in the Application Guidelines. Soft copy submission is required.

數據截至： Data as of:	2023/3/31	如未能提供，請列最新的數字並註明日期。 If not available, please provide the latest data with date specified

A部份 – 委任
Section A – The Mandate

委任概況
Mandate Profile

委任金額 Size	新制勞工退休基金每位投資經理獲委任4億美元，國民年金保險基金每位投資經理獲委任1億美元 US$400 million per manager for Labor Pension Fund, US$100 million per manager for National Pension Insurance Fund
回報目標 Performance Target	追蹤基準績效 Track benchmark performance
風險目標 Risk target	累計事後追蹤誤差不高於0.5%（年率） Cumulative ex-post tracking error: not higher than 0.5% p.a. against benchmark returns
工具 Vehicle	獨立之投資組合 Segregated portfolio

委任基準
Mandate Benchmark

資產類型 Asset Class	策略配置（%） Strategic Allocation (%)	提議的基準指數 Proposed Benchmark Index
全球被動股票型 (Global Passive Equity)	100	MSCI All Country World Index (ACWI) (Total Return; Net Dividends Reinvested; Unhedged; Index measured in USD)

提議產品
Proposed Product

經理人姓名	<輸入經理人姓名>
Manager Name	<Enter Manager Name>
提議產品	<輸入提議產品>
Proposed Product	<Enter Proposed Product>

欲瞭解詳細的投資方針和限制，請參見名為《新制勞工退休基金112 年度第1 次國外委任投資方針》《國民年金保險基金112 年度第1 次國外委任投資方針》的單獨文件。
For further details on investment guidelines and restrictions, please refer to separate document entitled "Investment Guidelines for 2023 First Overseas Discretionary Investment of Labor Pension Fund" and "Investment Guidelines for 2023 First Overseas Discretionary Investment of National Pension Insurance Fund."

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B部份 – 資料收集表 (壹・業務)
Section B – Data Collection Tables (1. Business)

<輸入經理人姓名> - <輸入提議產品>
<Enter Manager Name> - <Enter Proposed Product>

B.1 公司歷史與組織架構（少於200字） Company history and organisational structure (In less than 200 words)	
B.2 管理團隊的簡介及能力（少於200字） Brief description of management team and its capability (In less than 200 words)	
B.3 貴公司企業文化的概述及長期業務目標與闡明業務發展廣度與深度（少於200字） Brief description of company culture and long term business goals and elaboration of the breadth and depth of business development (In less than 200 words)	
B.4 請重點闡明貴公司對主要業務、本委任案及應用於本委任案的投資系統的資源投入情況（少於400字） Please specify the level of resources dedicated into main area of business, this stated mandate and investment system(s) applied to this stated mandate (In less than 400 words)	
B.5 貴公司在過去3年及未來3年對環境、社會責任及公司治理的投入度及相關發展計劃。 Company's commitment to Environmental, Social responsibility and Governance ("ESG") and related development plans for the past and next 3 years.	

B.6
全公司及法人客戶資產管理額(AUM)
Firm-wide AUM and AUM for institutional clients

截至 As of	公司/集團資產管理額 Firm/group’s total AUM (10億美元) (US$ bn)	法人客戶的資產管理額 Total AUM for Institutional clients	
		管理資產額 AUM (10億美元) (US$ bn)	客戶數量 No. of clients
2018/12			
2019/12			
2020/12			
2021/12			
2022/12			
2023/03			

B.7
多年期間的資產注入和資產流出總計（所有委託管理的資產管理額）
Total assets (total AUM for all mandates) inflow and outflow

截至 As of	全球 Worldwide		臺灣客戶 For clients in Taiwan	
	資產注入 Asset inflow （百萬美元） (US$ mn)	資產流出 Asset outflow （百萬美元） (US$ mn)	資產注入 Asset inflow （百萬美元） (US$ mn)	資產流出 Asset outflow （百萬美元） (US$ mn)
2018/12				
2019/12				
2020/12				
2021/12				
2022/12				
2023/03				

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<輸入經理人姓名> - <輸入提議產品>
<Enter Manager Name> - <Enter Proposed Product>

B.8 股票產品資產管理額和客戶數量 AUM and number of clients for equity product	截至 As of	全球 Worldwide		臺灣客戶 For clients in Taiwan	
		管理資產額 AUM (10億美元) (US$ bn)	客戶數量 No. of clients	管理資產額 AUM (10億美元) (US$ bn)	客戶數量 No. of clients
	2018/12				
	2019/12				
	2020/12				
	2021/12				
	2022/12				
	2023/03				

B.9 股票產品的資產注入和流出 Assets inflow and outflow for equity product	截至 As of	全球 Worldwide		臺灣客戶 For clients in Taiwan	
		資產注入 Asset inflow （百萬美元） (US$ mn)	資產流出 Asset outflow （百萬美元） (US$ mn)	資產注入 Asset inflow （百萬美元） (US$ mn)	資產流出 Asset outflow （百萬美元） (US$ mn)
	2018/12				
	2019/12				
	2020/12				
	2021/12				
	2022/12				
	2023/03				

B.10 提議產品的資產管理額和客戶數量 AUM and number of clients for proposed product	截至 As of	全球 Worldwide		臺灣客戶 For clients in Taiwan	
		管理資產額 AUM (10億美元) (US$ bn)	客戶數量 No. of clients	管理資產額 AUM (10億美元) (US$ bn)	客戶數量 No. of clients
	2018/12				
	2019/12				
	2020/12				
	2021/12				
	2022/12				
	2023/03				

B.11 提議產品的資產注入和流出 Assets inflow and outflow for proposed product	截至 As of	全球 Worldwide		臺灣客戶 For clients in Taiwan	
		資產注入 Asset inflow （百萬美元） (US$ mn)	資產流出 Asset outflow （百萬美元） (US$ mn)	資產注入 Asset inflow （百萬美元） (US$ mn)	資產流出 Asset outflow （百萬美元） (US$ mn)
	2018/12				
	2019/12				
	2020/12				
	2021/12				
	2022/12				
	2023/03				

B.12 資產管理業務相關的收入，及其占集團/母公司收入的比例 Revenue relating to asset management business, and contribution to the group/parent	截至 As of	年度營業收入 Revenue in the year （百萬美元） (US$ mn)	占整個集團或母公司收入的比例 （%） % contribution to the whole group or parent
	2018/12		
	2019/12		
	2020/12		
	2021/12		
	2022/12		
	2023/03		

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<Enter Manager Name> - <Enter Proposed Product>

B.13 資產管理業務的利潤，及其占集團/母公司利潤的比例 Profit of asset management business, and contribution to the group/parent	截至 As of	年度利潤 Profit in the year （百萬美元） (US$ mn)	佔整個集團或母公司利潤的比例（%） % contribution to the whole group or parent
	2018/12		
	2019/12		
	2020/12		
	2021/12		
	2022/12		
	2023/03		
B.14 公司員工持有公司股權的詳細情況和比例 Details and % of employee ownership of the firm (as of the end of year)	描述： Descriptions:		
	截至 As of	員工持有公司股權的比例（%） % of employee ownership	
	2018/12		
	2019/12		
	2020/12		
	2021/12		
	2022/12		
	2023/03		
B.15 請解釋為什麼勞動基金運用局應任命貴公司，而非貴公司的競爭對手來管理A部份註明的委任？請問貴公司對於本委任案的獨特優勢為何？（少於200字） Please explain why the BLF should appoint your organisation to manage the stated mandate in Section A, in preference to your competitors? What are the unique advantages of your organisation? (less than 200 words)			
B.16 請指出貴公司如何能夠吸收新業務，同時確保現有客戶的利益不受影響。 Please explain how your organisation manages to absorb new business without affecting the existing clients' interests.			
B.17 (a) 請說明貴公司在最近三年(截至本徵求書之提交日)有否違反相關法令、涉及各國主管機構懲處案件，訴訟案件(包含與受託客戶間)，調查案件或求償案件等及其原因。 (b)請說明最近一年貴公司的重大損失及呆帳。 (c)在評審過程期間，請提供任何有關以上的最新發展予勞動基金運用局。 (a) Please provide the details for whether your organisation has violated any relevant laws and regulations or involved any sanction issued by the regulatory authority of any jurisdiction , litigation (including with the clients), investigation, or any claim for compensation etc., for the last 3 years (as of the date of submitting this RFP) and if so, its reason. (b) Please also provide details in relation to any major loss/ write-offs of your organisation over the past 1 year. (c)Please provide any latest developments related to the above to the BLF during the evaluation process.			

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<輸入經理人姓名> - <輸入提議產品> <Enter Manager Name> - <Enter Proposed Product>	
B.18 以上案件是否將對貴公司之財務實力及所提議之服務造成實質上的影響？（少於200字） Are these legal proceedings or investigations expected to have a material adverse impact on your financial condition or your ability to perform the service proposed?	
B. 19 依受託投資機構所屬金融(證券)監管機關之規定，是否有須事先告知客戶之事項(如無者可免填)。如有，請提供： (a) 受託投資機構所屬金融(證券)監管機關之名稱 (b) 該金融(證券)監管機關要求受託投資機構應告知客戶之事項，請擇要摘述。(上述告知事項之相關文件，含中文譯本，本受託投資機構於簽約後將送達勞動基金運用局。) Are there any notices to be given to prospective clients by the investment managers, required by your financial (securities) supervisory body? If yes, please provide (if no, please skip this question): (a) The name of the supervisory body (b) Please provide a brief summary (The related notice, including Chinese translation, will be delivered to the BLF after the contract is signed.)	
B. 20 公司是否保留書面的持續經營計劃?如果不是，公司計劃如何將業務中斷中恢復的能力最大化? Does the firm maintain a written Business Continuity plan? If not, how does the firm plan to maximize its ability to recover from business interruptions?	

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B部份 – 資料收集表 (貳．投資專才)
Section B – Data Collection Tables (2. Investment Professionals)

<輸入經理人姓名> - <輸入提議產品>
<Enter Manager Name> - <Enter Proposed Product>

B.21 投資專業人員人數[1]和平均從業年份 Total number of investment professionals [1] and average years of experience	截至 As of	基金經理人數 No. of Fund Managers	年資 Avg. Yrs. of Experience		分析師人數 No. of Analysts	年資 Avg. Yrs. of Experience		其他投資專業人數 No. of Other Investment Professionals	年資 Avg. Yrs. of Experience		專業人員總人數 Total no. of Professionals
			業界 With Industry	於公司 With Firm		業界 With Industry	於公司 With Firm		業界 With Industry	於公司 With Firm	
	2018/12										
	2019/12										
	2020/12										
	2021/12										
	2022/12										
	2023/03										

B.22 與股票產品相關的投資專業人員總數 Total number of investment professionals related to the equity product	截至 As of	基金經理人數 No. of Fund Managers	年資 Avg. Yrs. of Experience		分析師人數 No. of Analysts	年資 Avg. Yrs. of Experience		其他投資專業人數 No. of Other Investment Professionals	年資 Avg. Yrs. of Experience		專業人員總人數 Total no. of Professionals
			業界 With Industry	於公司 With Firm		業界 With Industry	於公司 With Firm		業界 With Industry	於公司 With Firm	
	2018/12										
	2019/12										
	2020/12										
	2021/12										
	2022/12										
	2023/03										

B.23 與提議產品相關的投資專業人員總數。請敘明其他投資專業之業務性質或功能性；另若基金經理人兼任分析師或其他投資專業，併請敘明。 Total number of investment professionals related to the proposed product. Please provide the job description or functionality of other investment professionals, and specify the situation if fund managers double as analysts or other investment professionals.	截至 As of	基金經理人數 No. of Fund Managers	年資 Avg. Yrs. of Experience		分析師人數 No. of Analysts	年資 Avg. Yrs. of Experience		其他投資專業人數 No. of Other Investment Professionals	年資 Avg. Yrs. of Experience		專業人員總人數 Total no. of Professionals
			業界 With Industry	於公司 With Firm		業界 With Industry	於公司 With Firm		業界 With Industry	於公司 With Firm	
	2018/12										
	2019/12										
	2020/12										
	2021/12										
	2022/12										
	2023/03										

B.24 所有投資專業人員的異動情況 Turnover for all investment professionals - 加入者人數 No. of joiners - 離開者人數 No. of leavers	截至 As of	加入者人數 Number of Joiners			離開者人數 Number of Leavers		
		基金經理 Fund Manager	分析師 Analyst	其他投資專業 Other Investment Professional	基金經理 Fund Manager	分析師 Analyst	其他投資專業 Other Investment Professional
	2018/12						
	2019/12						
	2020/12						
	2021/12						
	2022/12						
	2023/03						

B.25 與提議產品相關投資專業人員的異動情況 Turnover of investment professionals related to the proposed product - 加入者人數 No. of joiners - 離開者人數 No. of leavers	截至 As of	加入者人數 Number of Joiners			離開者人數 Number of Leavers		
		基金經理 Fund Manager	分析師 Analyst	其他投資專業 Other Investment Professional	基金經理 Fund Manager	分析師 Analyst	其他投資專業 Other Investment Professional
	2018/12						
	2019/12						
	2020/12						
	2021/12						
	2022/12						
	2023/03						

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B部份 – 資料收集表 (貳・投資專才) Section B – Data Collection Tables (2. Investment Professionals)	
<輸入經理人姓名> - <輸入提議產品> <Enter Manager Name> - <Enter Proposed Product>	
B.26 貴公司就提議產品擬任的關鍵人員姓名及其職務。貴公司目前負責提議產品的投資團隊自組成到目前為止有多長時間？ Name of the key professionals of the proposed product and their roles. How long has your current investment team responsible for the proposed product been in place?	<請提供有關產品的主要人員的簡歷> <Please provide brief biography of the key people involved in the proposed product>
B. 27 請簡要概述在過去的36個月期間，貴公司負責提議產品的關鍵離職人員的名稱、職位及其離職原因 Please provide a brief summary of the names and positions of the key people who left your company during the past 36 months who were responsible for the proposed product and the reasons for their departure.	
B.28 投資所需資源的妥適性,例如共享的工作歷史、員工繼任計劃和輔助系統（少於200字 ） Appropriateness of resources required for investment, such as shared work history, staffing succession plan and ancillary systems (In less than 200 words)	
B. 29 請說明投資團隊的技能，以及如何互補不足（少於200字） Please highlight the skillset of the team members and how they complement each other (In less than 200 words).	
B.30 薪酬架構 - 總薪酬中獎金比例（%） - 支付方式 - 閉鎖期/遞延收益 - 遞延薪酬 （如投資團隊和研究團隊之薪酬架構不同，請分別說明） Remuneration structure - Bonus as % of total compensation - Paid in the form of - Lock-up period or deferred benefits - Deferred Compensation （Please describe the remuneration structures for the portfolio management team and the research team respectively if they differ.）	

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<輸入經理人姓名> - <輸入提議產品> <Enter Manager Name> - <Enter Proposed Product>	
B.31 獎金的決定依據（例如與投資績效相關的比例、以團隊為基礎或以個人為基礎計算等）及其支付來源 Basis of discretionary bonus (e.g. what % is related to investment performance, team based or individual based, etc) and the funding source	
B.32 產品關鍵人員管理相同或類似策略的經驗。請提供使用有關產品的兩位客戶之推薦及聯絡資料 Key portfolio manager(s)' experience in managing the same or similar strategies. Please provide 2 relevant client references with contact information	
B. 33 貴公司是否有專責的永續/責任投資團隊?其團隊人員配置為何？請概述前述團隊成立的期間及其權責職能，並闡述其與投資團隊的合作方式。若無專責永續/責任投資團隊，請說明是否提供予投資團隊有關ESG的專門教育/培訓(包含但不限於培訓類型與頻率、高級管理人員是否參與等資訊)。 Do you establish a dedicated Sustainable Investment/ Responsible Investment team? How is the team staffed? Please describe the team history and their responsibilities and how they collaborate with the investment team. If you don't have a Sustainable/ Responsible investment team, please state whether specialized education/training on ESG is provided to the investment team (please provide the details including, but not limited to, the type and frequency of training, whether senior management is involved).	
B.34 貴公司在人事變動方面上，將如何通知勞動基金運用局？（如事前溝通/事前告知/事後告知等） How do you propose to notify the BLF on changes to your team? (Communicate beforehand / notify beforehand / notify after fact)	

[1] 投資專業人員是指直接或間接參與資產投資的任何僱員，例如包括CIO、基金經理人、分析師、經濟學家，但是不包括交易員、自營人員、後臺職員和秘書。
Investment Professional is defined as any employees who are involved directly with or indirectly with the investment of the assets, i.e. including e.g. CIO, fund managers, analysts, economists, but excluding e.g. trader, dealer, back room staff and secretaries.

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B部份 – 資料收集表 (參・投資程序) **Section B – Data Collection Tables (3. Investment Process)**	
<輸入經理人姓名> - <輸入提議產品> **<Enter Manager Name> - <Enter Proposed Product>**	
B.35 投資理念之概述（少於500字） General descriptions of investment philosophy (In less than 500 words)	
B.36 資產配置流程之概述。（少於500字） General descriptions of asset allocation process. (In less than 500 words)	
B.37 證券研究流程之概述。（少於500字） General descriptions of security research process. (In less than 500 words)	
B.38 投資組合建構流程: 請詳述投資決策流程、不同投資團隊間之權責分工、投資想法如何被引進投資組合及投資經理人與各團隊或各地區分析人員之互動關係 （少於500字） Portfolio construction process: Please describe in detail about the investment process, the division of responsibility between different teams, how investment ideas are being brought into the portfolio and the interaction between portfolio managers, the teams and the research analysts (In less than 500 words)	
B.39 過去3年間投資流程的變化情況（少於200字） Please describe the changes of the investment process over the past 3 years (In less than 200 words).	
B.40 說明提議產品相較於其同業的主要優勢及創新元素（少於300字） Please highlight the competitive advantages and innovative elements of the proposed product (In less than 300 words)	
B.41 新指數評估流程與模型設定（少於200字） Process for new index evaluation and modelling (In less than 200 words)	
B.42 風險管理流程 請簡述以下內部控制與稽核制度： - 績效檢討與評比考核 - 自律道德與規範 - 與利害關係人利益衝突之管理 (含防火牆之建置，保密規定等) - 交易流程風險控管 (含選擇往來券商之標準或原則， 分配下單之原則，是否有集中下單之現象等說明) - 內部控制作業 - 內部稽核制度與監察制度 Risk management process Please briefly describe the following internal control and auditing procedures: - Performance evaluation - Self regulating and ethics - Management of conflict of interest (including firewalls and confidentiality, etc) - Risk control in trading process (including the standard and principles of selecting brokers, principle of trade allocation, whether collective trade exists, etc) - Internal control operation - Internal auditing and monitoring Scheme	

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Section B – Data Collection Tables (3. Investment Process)

<輸入經理人姓名> - <輸入提議產品> <Enter Manager Name> - <Enter Proposed Product>		
B.43 提議產品目標風險（年度追蹤誤差）及年度目標績效 Target risk (tracking error, % p.a.) and performance of proposed product	追蹤誤差 Target tracking error	
	目標績效 Target performance	
B.44 請簡述產品如何使用勞動基金運用局設定之追蹤誤差值達到目標報酬（少於300字） Please briefly describe how the product utilize the tracking error allowed by the BLF to achieve the target return objective (In less than 300 words)		
B.45 提議產品的年均基金周轉率(2020 - 2022年之平均數) 註：周轉率的計算定義為購入或賣出的較少者除以投資組合的平均價值，即最少值 (購入, 賣出) / (2020 - 2022年之間投資組合的平均價值) Target average annual turnover (% p.a.) of proposed product. Notes: Turnover defined as lesser of purchases and sales divided by mean value of portfolio = Min (Purchases, Sales) / (Mean value of portfolio from 2020 to 2022).		
B.46 提議產品的現金持有比率 (2020 - 2022年平均數) Cash ratio of the proposed product (average from 2020 to 2022)		
B.47 投資績效歸因分析之作法，並請說明提升績效之作法。 Investment performance attribution analysis capability, method and explain way(s) to enhance the performance.		
B.48 有關提議產品對於無法直接投資或低流動性市場的處理方法 Approaches and solution to investing in markets that restrict direct investment or have low liquidity for proposed product.		
B.49 如何管理投資組合的交易成本以達最大化的效率?請同時列出平均交易成本。 How are transaction costs being managed so that the efficiency of the mandate is maximized? Please provide the average transaction costs.		
B.50 請說明貴公司有關代理投票的政策。 Please elaborate your proxy voting policy.		
B.51 請說明貴公司有關主動議合的政策。 Please elaborate your engagement policy.		
B.52 貴公司認為在使用本委任的基準指數將會面對的主要困難（如有）為何?以及如何因應? What major difficulties (if any) do you envisage in benchmarking against the mandated benchmark index? And how to deal with it?		
B.53 貴公司對本委任預期的稅務影響? 而貴公司建議如何減低此影 What is your expectation on the tax impact for this mandate? How is the tax impact minimised?		

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Section B – Data Collection Tables (3. Investment Process)

<輸入經理人姓名> - <輸入提議產品> <Enter Manager Name> - <Enter Proposed Product>	
B.54 容量管理 在現行公司及主要經理人之資源下，預計本委任的預期容量限制是多少？請說明有關保護現有客戶利益之措施（少於200字） Capacity management What is the expected capacity given current resources with the firm and the key manager(s) for this mandate? Is there a mechanism in place to protect existing clients? (In less than 200 words)	
B.55 依據本委任的基準指數及投資方針，貴公司預期如何因應以不低於指標之績效表現? How do you manage to create the return which is not lower than the benchmark performance in light of the benchmark and investment guideline of the mandate?	
B.56 貴公司運用衍生性金融商品之交易程序或相關風險管理措施是否符合當地主管機關之法令規範？並說明下列項目： -衍生性金融商品交易程序（交易程序應包括交易分析、交易決定、交易執行及交易檢討等步驟。） - 衍生性金融商品交易之風險管理措施 -衍生性金融商品之交易相關人員其分層負責內容與代理制度是否納入內部稽核及內部控制制度? - 預計使用之衍生性金融商品工具 - 非避險為目的之衍生性金融商品交易，預計採行之停損機制及限額 Does the use of derivatives by your firm during transaction process or related risk management mechanisms comply with domestic regulations? Please include the following aspects in your explanation: - The transaction process of derivatives (transaction process should include transaction analysis, decision making, execution and evaluation etc.) - The risk controls in place for the derivatives transactions - Are the segregated responsibilities of related people in derivatives transactions and its outsourcing covered by your internal audit and internal control? - Expected derivatives to be used in the proposed product - If the derivatives are used for non-hedging purposes, could you please indicate their expected stop-loss mechanism and limit	
B.57 指數成份股發放股利對提議產品之影響與因應措施 Impact of dividends (in the case of dividend payments) on the portfolio and corresponding measures for proposed product	
B.58 請分開列出提議產品之非避險目的之衍生性商品部位與避險目的之衍生性商品部位概況?(項目,部位數額或佔整體組合比重等) Please separately describe the use of derivatives for hedging purposes and non-hedging purposes of proposed product (items, values, percentage of overall allocation, etc)	

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Section B – Data Collection Tables (3. Investment Process)

<輸入經理人姓名> - <輸入提議產品>
<Enter Manager Name> - <Enter Proposed Product>

B.59
除受委託之法人投資人外，貴公司及經理人本身投資於提議產品之投資情形。
In addition to institutional clients, what percentage of investment in the proposed product is from your firm or investment managers of your firm?

B.60
於2023年3月31日提議產品及其對應母指數之投資組合特徵
Portfolio characteristics of proposed product and parent index as of 31 March 2023

市值 **Market capitalization**	提議產品 **Proposed product**		母指數 **Parent Index**	
	組合比例（%） **% of fund**	股票數量 **No. of stocks**	組合比例（%） **% of fund**	股票數量 **No. of stocks**
大 Large				
中 Median				
小 Small				
總計 **Total**				
產業分佈 **Sector Allocation**	組合比例（%） **% of fund**	股票數量 **No. of stocks**	組合比例（%） **% of fund**	股票數量 **No. of stocks**
資訊科技 Information Technology				
金融 Financials				
非必需消費品 Consumer Discretionary				
醫療保健 Health Care				
工業 Industrials				
通訊服務 Communication Services				
必需消費品 Consumer Staples				
原物料 Materials				
能源 Energy				
公用事業 Utilities				
不動產 Real Estate				
其他 Others				
現金 Cash				
總計 **Total**				
區域分佈 **Region Allocation**	組合比例（%） **% of fund**	股票數量 **No. of stocks**	組合比例（%） **% of fund**	股票數量 **No. of stocks**
北美 North America				
歐洲 Europe				
日本 Japan				
亞太(日本除外) APxJ				
其他 Others				
總計 **Total**				

B.61
有關提議產品對於指數變動的處理方法
Approaches to index changes of proposed product

B.62
當重大投資事件發生時，經理人及公司將採取何種措施以為因應。若有，請列舉實際案例與處理結果。
When major investment events occur, what are the procedures your team would undertake and what are the results?(please elaborate with actual scenario, if any)

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<table>
<tr><td colspan="3">B部份 – 資料收集表 (肆．服務)
Section B – Data Collection Tables (4. Service)</td></tr>
<tr><td colspan="3"><輸入經理人姓名> - <輸入提議產品>
<Enter Manager Name> - <Enter Proposed Product></td></tr>
<tr><td>B.63
主要服務聯絡人和地點
Primary servicing contact and location</td><td colspan="2"></td></tr>
<tr><td>B.64
主管和儲備投資組合經理人和地點
Lead and back-up portfolio manager and location</td><td colspan="2"></td></tr>
<tr><td>B.65
投資辦公室的地點
Location of investment office</td><td colspan="2"></td></tr>
<tr><td>B.66
貴公司如何能提供令人滿意的客戶服務，及在台服務據點與人力。
Please highlight how your organisation will be able to provide satisfactory customer service, location of branch, and service team in Taiwan.</td><td colspan="2"></td></tr>
<tr><td>B.67
請重點闡述若獲勞動基金運用局選任，貴公司如何展示致力於臺灣市場的承諾？貴公司將如何增加在台分支機構或營運據點的人力配置，或影響在台服務團隊之人力配置，或強化與台灣業者之合作情形，以及增加其他資源之投入？（少於200字）

Please briefly describe how will you demonstrate your commitment to the Taiwan market if selected by the BLF. How will you increase the manpower of your branch offices or operating bases in Taiwan, or change the deployment of your service team in Taiwan, or strengthen the cooperation with domestic investment manager, as well as increased other resources allocate in Taiwan. (In less than 200 words)</td><td colspan="2"></td></tr>
<tr><td>B.68
報告及時服務能力
(請參閱委任投資契約內的報告要求並說明貴公司是否可以達到要求)
Reporting capability (Please refer to the reporting requirements in the Investment Management Agreement and elaborate whether your organisation can meet these requirements).</td><td colspan="2"></td></tr>
<tr><td>B.69
提供客戶培訓和其他增加客戶能力的服務
Providing client training and other services that can enhance clients' capability</td><td colspan="2"></td></tr>
<tr><td rowspan="5">B.70
貴公司關於此投資組合建議的費用，係按委託資產淨值（以保管機構計算之市值為準）以每年固定單一百分比之管理費率報價，包含履行所有契約內容之成本。應以各基金之申請總金額為基礎提供報價。請在此列明其他費用(例如:保管、行政等)。請確認貴公司不會從此投資組合中獲得其他沒有列出的報酬。
Your proposed fee as per the breakdown, provided as an annual fixed percentage of the net asset value of the assets under management (based on the market value calculated by the custodian), including all costs to execute the IMA. The quote shall be on the basis of the total amount of applied funds. Please state other fees(if there are any, e.g. custodian,administration etc.). Please confirm that you would derive no other remuneration (other than those listed) from this portfolio.</td><td>總建議費用:
Total proposed fee:
（請確保總費用包括投資管理費用及所有其他費用）
(Please make sure that the quoted fee includes all fees including investment management fee)</td><td></td></tr>
<tr><td colspan="2">總建議費用明細
Breakdown of total proposed fee</td></tr>
<tr><td>1. 投資管理費用:
Investment Management fee</td><td></td></tr>
<tr><td>2. MSCI ACWI 指數授權費:
MSCI ACWI license fee:</td><td></td></tr>
<tr><td>3.其他費用:(請註明):
Other fees(please specify):</td><td></td></tr>
<tr><td>B.71
貴公司此投資類型對法人客戶的標準報價
(Standard Fee Schedule)
Standard fee scales for institutional clients.</td><td colspan="2"></td></tr>
<tr><td>B.72
貴公司是否將投資以外的服務(例如後台作業等)委託予外部機構/公司？如是，請提供受託公司名稱與範圍。
Does your company entrust any services other than investment advice (such as back office operations…etc) to other organizations? If yes, please specify the name of the entrusted organizations and the scope of delegation.</td><td colspan="2"></td></tr>
</table>

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C部份 – 每月績效資料
Section C – Monthly Performance & Portfolio Breakdown

<輸入經理人姓名> - <輸入提議產品>
<Enter Manager Name> - <Enter Proposed Product>

請根據另外附上的Excel電子資料表，提供未扣除費用及扣除所有費用（請列明扣除的費用類別）後提議產品之每月報酬（美元）及其基準指標報酬。
Please provide monthly returns (both gross and net of fees) since the proposed product's inception in US dollar terms (please indicate the fees that are deducted) and the corresponding benchmark returns as per the Excel spreadsheet provided separately.

請提供報酬計算的方法。
In providing the returns, please describe the methodology behind.

另請於電子資料表內填寫投資組合的區域分佈及區域回報資料，以便進行統一歸因分析。
Portfolio weights and returns by region should also be inserted into the Excel spreadsheet for calculation of standardised attribution analysis.

提議產品個別策略之投資績效之衡量與表達須符合CFA Institute 所訂之GIPS之要求。（或其他國家主管機關認可之標準，申請時請提供相關標準及該標準與GIPS相當之說明）。**若採取其他相當標準，除原有績效數據外，須另外提供採取GIPS標準計算之績效數據；另有關證明文件部分，須由當地金融主管機關、資產管理公司公會、會計師事務所或GIPS驗證機構發出。**

The evaluation and expression of the proposed product investment performance shall be in line with the requirements of GIPS as provided by CFA Institute (or the other standards approved by national competent authorities, the applicant shall provide description of the standard and how it is equivalent to GIPS requirements at the time of application). **If the equivalent standards are adopted, apart from the original performance track record, please provide the performance data calculated based on GIPS standard. The certificate shall be issued by local financial authority, asset management association, accounting firms or GIPS verifiers.**

為公平比較經理人之績效，將按以下偏好順序採用相關績效：
To facilitate fair comparison of manager's performance, performance is preferred in the following order:

1	追蹤本委任基準之投資組合績效 Performance of a portfolio tracking the mandate benchmark.
2	追蹤本委任基準之投資組合之集合績效。如投資組合之集合是由多於一個投資組合來構造，請分別提供所有投資組合之績效。 Performance of a composite portfolio tracking the mandate benchmark. If more than one portfolio are used to construct the composite portfolio, please provide the performance of all the underlying portfolios separately.
3	非追蹤本委任基準但符合申請須知所列的需求的投資組合之績效。請分別提供所有投資組合之績效。 Performance of a composite portfolio tracking benchmark that is not the mandate benchmark but fulfills the requirement listed in the Application Guidelines.Please provide the performance of all the underlying portfolios separately.

謝謝您！最後請您提供填寫此表格人員的詳細聯絡資訊，以備查詢之用。
Thank you, this is the end of the Information Collection Form - please provide the contact details of the person who completed this form for enquiry purpose.

姓名： Name:	
電話： Tel:	
電子郵件： Email:	